



EUROPEAN SCIENCE FOUNDATION
SETTING SCIENCE AGENDAS FOR EUROPE

European Science Foundation | **CPU Groupe Europe**

Les acteurs qui font l'Espace Européen de la Recherche

L'ESF et son rôle auprès des politiques

Dr. Carole Moquin-Pattey
Head of Unit
ESF Corporate Science Strategy Development

www.esf.org

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European Science Foundation | **ESF et son rôle auprès des politiques**

- ESF Mission
- ESF science policy
- ESF – EUROHORCs roadmap for excellence in Research
- ESF relations to Universities

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European Science Foundation | **Mission**

The European Science Foundation provides a common platform for its Member Organisations in order to:

- **advance European research**
- **explore new directions for research at the European level**

Through its activities, the ESF serves the needs of the European research community in a global context.

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European Science Foundation | **About ESF**

- Independent, non-governmental organisation
- Established in 1974
- Offices in Strasbourg, Brussels, Ostend
- ESF budget: 53M€ in 2008
- Networking budget: several Bn€ indirectly influenced by ESF
- Staff: 104 in 2008 not including COST


ESF headquarters, Strasbourg


Marine Board, Ostend


Research Conferences Unit, 15th floor, Tour Louise, Brussels

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European Science Foundation | **ESF Member Organisations**

80 Member Organisations

- research funding organisations
- research performing organisations
- academies and learned societies

30 countries



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Scientific teams within ESF

- Humanities
- Social Sciences
- Life, Earth & Environmental Sciences
- Marine Sciences
- Medical Sciences
- Polar Sciences
- Physical and Engineering Sciences
- Space Sciences



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Strategic Plan 2006-2010

SCIENCE STRATEGY	SCIENCE SYNERGY	SCIENCE MANAGEMENT
Forward Looks	EUCORES	Peer Review support
Science Policy Briefings	Research Networking Programmes	COST
Exploratory Workshops	Research Conferences	Coordination of ERA-NETS
MO Fora		

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Forward Looks



- Medium - long term scientific perspectives
- Multidisciplinary topics viewed at a European level
- Bring together scientists with policy makers
- Wide consultation
- Result in major reports and action plans
- Average budget 150-250k€ over 18 months



www.esf.org/flooks

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Science Policy Briefings



- Address selected science policy issues of key concern to the ESF Member Organisations and the wider scientific community
- By drawing on the advice and expertise of researchers, the briefings aim both to provide information and to promote discussion



www.esf.org/publications

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Exploratory Workshops



- One-off specialist meetings
- Spearheading topics
- 'Bottom-up' subjects based on open calls
- May lead to ESF or other à la carte programmes; FP proposals; position statements
- 25-30 scientists involved
- Average budget per event 14k€

www.esf.org/workshops

www.esf.org

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ESF Member Organisation Fora



- Output-oriented discussion platforms for Member Organisations (MO) to develop joint actions on specific issues, involving others as appropriate
- Actions: to benefit MO strategy development and/or lead to the development of
 - Best practice e.g. peer review
 - Common procedures
 - Cooperative activities
- Time-limited activities (two years)

www.esf.org/activities/mo-fora

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EUROCORES

(European Collaborative Research Programmes)



- Unique investigator-driven collaborative research programme scheme in Europe covering all scientific disciplines
- Excellent opportunity for the science community and ESF MOs to submit their ideas for a large-scale collaborative research programme
- International and joint peer review
- Research funding remains national
- Networking funded through ESF

www.esf.org/eurocores

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Research Networking Programmes



- Networking to enable major scientific endeavours over a four or five-year period
- Supported by ESF MOs through additional *à la carte* funding
- Typically include workshops, conferences, inter-laboratory exchanges, fellowship programmes, summer schools and dissemination
- Can link to other initiatives, including the EC Framework Programme
- Financing in the range of 100k€ - 130k€ per annum

www.esf.org/programmes

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Research Conferences



- Interdisciplinary scheme for Europe implemented in collaboration with high-profile partners
- Stimulate dialogue between early-stage researchers and scientific leaders worldwide
- Cover the latest topics, proposed by and for the scientific community
- Full organisational support by ESF conference organisers
- Grants of 20-60k€ per conference, including support earmarked for early-stage researchers

www.esf.org/conferences

www.esf.org

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Open Calls for Proposals



ESF issues annual open calls for proposals for:

- EUROCORES themes and projects
- Research Networking Programmes
- Exploratory Workshops
- Research Conferences

www.esf.org/calls

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Science Management

ESF serves the academic community in promoting cutting-edge science in Europe by creating synergies between funding agencies and by assisting cross-border cooperation.

- Peer Review support
- COST
- Coordination of ERA-NETs

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ESF et son rôle auprès des politiques

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ESF et son rôle auprès des politiques

➤ ESF science policy

- Example of the process of the Directive on Protection of Animals

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The regulatory European context

1986 => Regulation : EU Directive **609/86 EEC** giving the rules for "*The protection of animals used for experimental and other scientific purposes*"

2006 => Revision process of directive by initiative of the Commission under pressure of ONG for animal welfare.

2007 => Written declaration of European Parliament on primates in scientific experiments (25 Sept 2007). Intensive and passionate debates at the European level.

2008 => Release of the **proposed revised version** by the EC on 5 Nov 2008: *Directive of the European Parliament and of the Council on "The protection of animals used for scientific purposes"* (**EC(2008)543**)

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What are the next steps

- The revised draft of the directive submitted for discussion to the Council and the European Parliament
- Council : No output at present
- EU Parliament :
 - the project is in preparatory phase :
 - ⇒ Committee AGRI (*Agriculture*) – **responsible**
 - ⇒ Committee ITRE (*Industry, Transport, Research, Energy*) for reinforced opinion
 - ⇒ Committee ENVI (*Environment*) for opinion

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European Parliament
Current status of the procedure

Rapporteurs (as known up today):

- Committee AGRI** – (responsible)
 - Rapporteur : MEP Neil PARISH (EPP-UK)
 - Shadow rapporteurs :
 - for PES : Roselyne LEFRANÇOIS (FR)
 - for ALDE : Wilhem SCHUTH (DE)
- Committee ITRE** – (reinforced opinion)
 - Rapporteur : Esko SEPPANEN (GUE/NGL- FL)
 - Shadow rapporteurs :
 - for EPP : Françoise GROSSETTÈTE (FR)
 - for PES : Catherine TRAUTMANN (FR)
 - for ALDE : Jorgo CHATZIMARKAKIS (DE)
- Committee ENVI** – (opinion)
 - Rapporteur : Mojca DRŽAR MURKO (ALDE-SL)
 - Shadow rapporteurs :
 - for PES : Daciana Octavia SÂRBU

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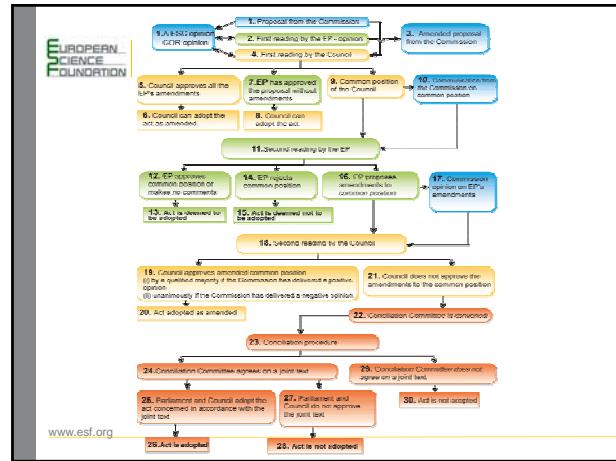
European Parliament
Current status of the procedure

Schedule (as known up today):

- Committee AGRI** – (responsible)
 - Feb 16 : Public hearing at EP
 - Research : t.b.c. (UK)
 - Industry : Thierry Decelle (Sanofi-FR)
 - Animal Welfare : Maggy Jennings (Royal Soc for Prevention of Cruelty to Animals(UK))
 - Feb 18 : end of Parish's report
 - March 9 : Consideration of draft report at Committee AGRI
 - March 11 : deadline for amendment proposals
 - March 31 : vote at Committee AGRI
- Committee ITRE** – (reinforced opinion)
 - Feb 11 : Consideration of draft report at Committee ITRE
 - Feb 16 : deadline for amendment proposals
 - March 9 : vote at Committee ITRE
- Committee ENVI** – (opinion)
 - Feb 9 : Consideration of draft report at Committee ENVI
 - Feb 9 : Deadline for amendment proposals
 - Feb 13 : vote at Committee ENVI
- Plenary Session**
 - May 5 – 6

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Plan
How to become knowledgeable about the political agenda?

What was the communication landscape in Europe?

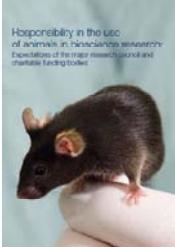
What did ESF do in this landscape?
How was this role perceived from political analysts?
What was the impact of ESF action?

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In U.K.



Responsibility in the use of animals in medical research: Expectations of the major research council and charitable funding bodies



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In U.K. **Germany** **Sweden**

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Letter of Nobel Prize Professor Françoise Barré-Sinoussi to French Minister of Higher Education and Research (19 January 2009)

INSTITUT PASTEUR

*Madame Françoise Barré-Sinoussi
Présidente de l'Institut Pasteur
Paris, le 19 Janvier 2009*

Dear Minister,

I am writing to you to express my concern about the proposed revision of Directive 86/609/EEC on the protection of animals used for scientific purposes. I am a member of the International Commission on the Ethics of Scientific Experimentation (CIEA) which has issued a statement on the use of non-human primates in research. This statement is available at www.ciea.org. I would like to draw your attention to the following points:

1. The proposed revision of the Directive would allow the use of non-human primates in research without any ethical review by a committee of experts. This would be a serious threat to the welfare of these animals.

2. The proposed revision would also allow the use of non-human primates in research without any consideration of the alternatives. This would be a violation of the principles of the 3Rs (Replacement, Reduction, Refinement).

3. The proposed revision would also allow the use of non-human primates in research without any consideration of the scientific validity of the experiments. This would be a violation of the principles of scientific validity.

4. The proposed revision would also allow the use of non-human primates in research without any consideration of the ethical implications of the experiments. This would be a violation of the principles of ethical responsibility.

I urge you to take action to prevent this proposed revision of the Directive. I would be happy to discuss this issue further with you.

Yours sincerely,

Françoise Barré-Sinoussi

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ESF-EMRC Position Paper I

- Prof. Marja Makarow, ESF Chief Executive, was nominated in January 2008
- A letter from Prof. Peter Gruss (MPG) alerted her on the possible threat for European research of this revision
- Concern was expressed by our Member Organisations on the draft Directive at the 2008 April EMRC Plenary meeting
- A draft position paper was prepared and circulated on 24 July 2008
- Finalised and approved in late August and sent to Commissioner Potočnik on Friday 12 September



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Timeline for European Parliament Reading on the Directive – A Tight Agenda

AGRI Committee - responsible	ENVI Committee – for opinion	ITRE Committee – for reinforced opinion
Rapporteur: Neil Parish, UK	Rapporteur: Esko Seppänen, FI	Rapporteur: Mojca Drčar Murko, SI
16 Feb: Public hearing at E. Parliament 18 Feb: Draft report		
9 Mar: Consideration of draft report 11 Mar: Deadline for amendments	9 Feb: Consideration of draft report 9 Feb: Deadline for amendments	11 Feb: Consideration of draft report 16 Feb: Deadline for amendments
31 Mar: AGRI meeting – Vote	13 Feb: ENVI meeting – Vote – passed	9 Mar: ITRE meeting – Vote
5-6 May: First Reading at Plenary Session of the European Parliament		

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ESF-EMRC Position on the Proposal for a Directive on the Protection of Animals used for Scientific Purposes

Press Release on 24 March 2009

The press release was published on various websites:

- European Science Foundation website: www.esf.org/emrc/pdpasp
- TheScientist.com: www.TheScientist.com/article/10.1289/ehp.118.118.118
- BIOOTECH.INFO: www.BIOOTECH.INFO/article/10.1289/ehp.118.118.118

Download the document at: www.esf.org/emrc/pdpasp

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Support letter from ESF and Philanthropy (WT, IP, etc.) to MEPs

24 March 2009

The letter is a formal document addressed to MEPs, signed by representatives of ESF, Wellcome Trust, and other organizations. It discusses the proposal for a Directive on the protection of animals used for scientific purposes and calls for its adoption.

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How was this role perceived by political analysts?

Interview by Marie Walter (4th year student at Sciences Politiques in Paris) on 27 April 2009 in the frame of a study case she had to make on the role of 'lobbying' organisation in the European directive process

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Lobbying Européen - 2008/2009
Isabelle Albouy-Delponte

La révision de la directive 86/609/CEE relative à la protection des animaux utilisés à des fins scientifiques
Le cas des primates

Innovation et Recherche-développement, séance 2
Nico Matheis et Marie Walter

SciencesPo.

Le problème qui se pose à l'ESF/EMRC



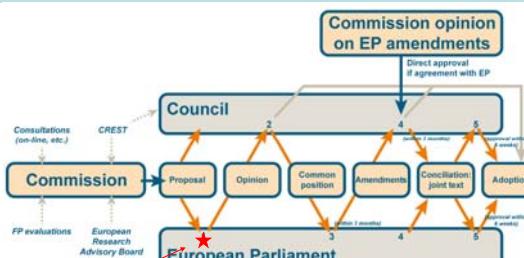
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- La proposition de la **Commission**
 - Émane de la DG ENVI: elle ne prend pas en compte la recherche, s'appuie sur les arguments des associations pro-environnementales
 - Restreint à l'extrême la possibilité de pratiquer des expérimentations scientifiques sur les primates non humains (PNH)
- L'**ESF**, au nom de ses **membres**, souhaite sauvegarder la recherche sur les PNH :
 - Recherche appliquée: progrès considérables en matière médicale (SIDA, maladie de Parkinson, blessures à la colonne vertébrale...), parfois, absence d'alternatives (Hépatite C)
 - Recherche fondamentale: essentielle aux progrès de la Science, au bénéfice des hommes et des animaux
 - L'**ESF** craint que les nouvelles restrictions n'entraînent une délocalisation de la recherche vers d'autres Etats/régions moins exigeants du point de vue éthique.
 - La recherche sur les PNH est pratiquée sur un nombre restreint d'individus, selon des procédures au degré invasif très varié

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Où en est le processus législatif?



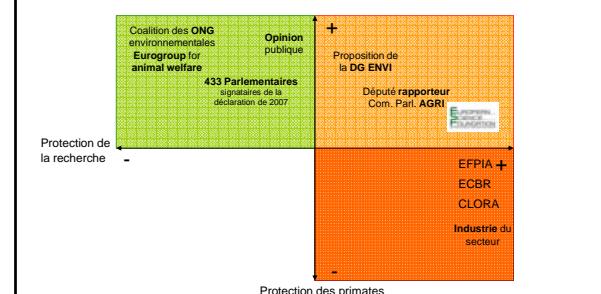
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Les enjeux des dispositions de la proposition pour les primates non humains (PNH)

- But de la proposition de la Commission:**
 - Mettre fin aux problèmes engendrés par le manque d'harmonisation dans la protection des PNH par une harmonisation législative (art 95 CE).
 - Continuer les progrès dans la protection des primates
 - Principe: les PNH ne doivent pas être utilisés dans les expérimentations scientifiques
 - Dérogations pour des cas prévus limitativement / possibilité de démontrer qu'il n'y a pas d'alternative de recherche possible
 - Interdiction de l'utilisation des grands singes sauf en cas de crise sanitaire
 - Soutenir une recherche scientifique européenne de haut niveau.
- Le débat sur l'utilisation expérimentale des PNH : 2 coalitions**
 - Les organisations de protection des animaux, l'opinion et une partie des MEPs soutiennent pour des raisons éthiques :
 - L'interdiction de l'utilisation des grands singes dans l'expérimentation scientifique
 - La fin de l'utilisation des autres primates par la substitution d'autres méthodes expérimentales.
 - Les représentants de la communauté scientifique, les experts consultés et une partie du PE dont la **Commission compétente au fond** et le **député rapporteur** revendiquent au nom d'une recherche européenne de qualité :
 - Pas d'interdiction absolue d'utilisation des PNH y compris pour les grands singes
 - Mais des restrictions et procédures de contrôle particulièrement sévères à cette utilisation

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Mapping politique des acteurs concernés



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La stratégie de l'EMRC: objectifs poursuivis et mode d'action



- Objectifs généraux: les **3 principes fondamentaux** qui doivent guider le législateur
 - Les « 3 R » comme principe général de l'expérimentation animale: Refinement, reduction, replacement.
 - Analyse **bénéfices-risques** pour chaque projet au regard du bien-être animal
 - Minimisez les **entraves bureaucratiques**
- Objectifs spécifiques au problème des primates: L'article 8
 - 8.1 : La protection des primates doit être liée à sa similitude à l'homme mais aussi et surtout à sa capacité à souffrir. La similitude des PNH à l'homme en fait tout leur intérêt pour la recherche
 - 8.1.a): Simplifier la liste limitative des dérogations au principe de non utilisation des PNH
 - 8.1.b): Lors qu'il n'y a pas d'alternative de recherche à l'utilisation de PNH, c'est **au scientifique de le démontrer**
 - 8.2: L'utilisation expérimentale des grands singes ne doit pas être interdite mais encadrée par des procédures très sévères.
- Mode de relation avec les puissances publiques: Stratégie de **coopération** en tant qu'expert
- Niveau d'action **collectif**: rassembler pour la première fois l'ensemble de la communauté scientifique européenne derrière une position commune

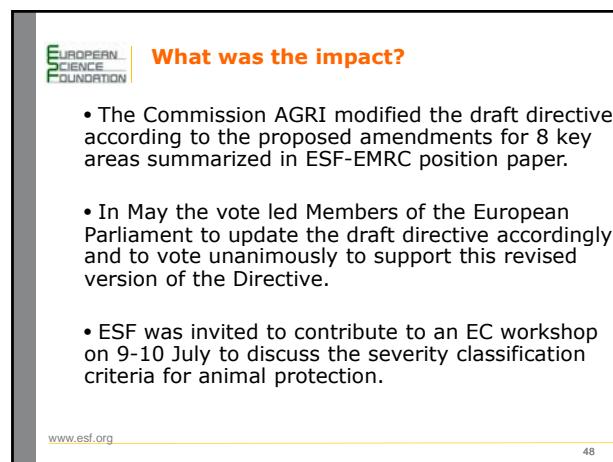
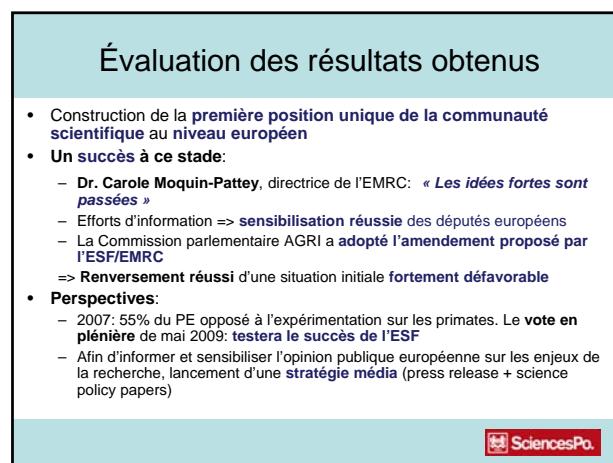
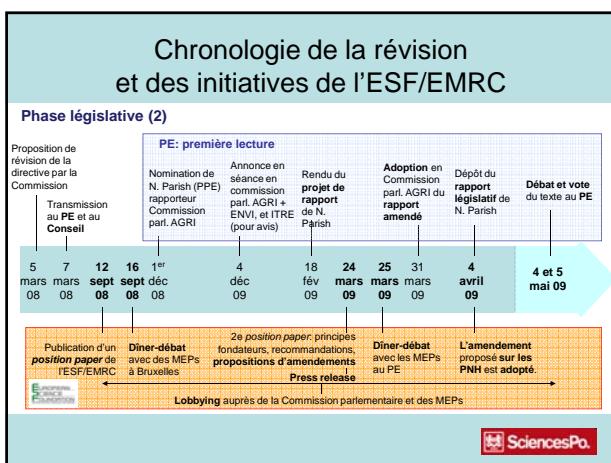
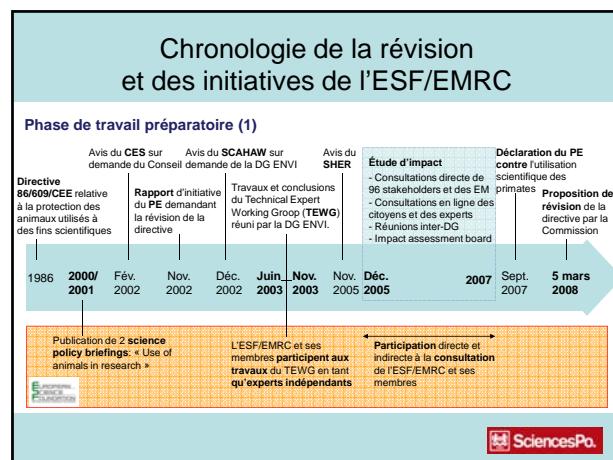
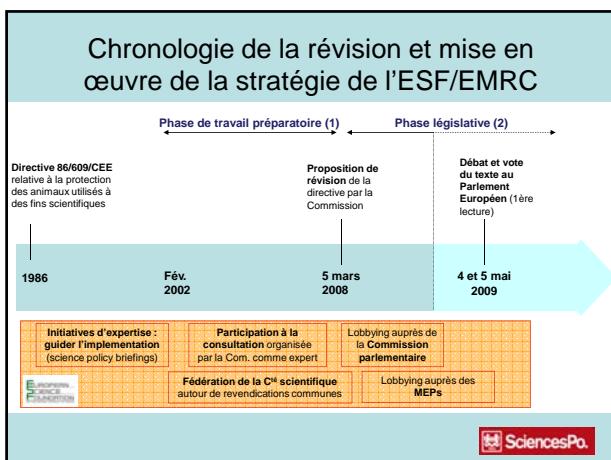
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Tactique de lobbying



Stratégies politiques	Tactiques politiques
Stratégie de pression	<ul style="list-style-type: none"> ➤ Sensibilisation locale des électeurs ➤ Sensibilisation de l'opinion publique ➤ Sensibilisation des médias ➤ Création d'une coalition ad hoc
Stratégie de coopération	<ul style="list-style-type: none"> ➤ Financement politique ➤ Inside lobbying ➤ Création d'une coalition à long terme

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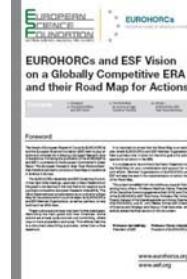
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- ESF – EUROHORCs roadmap for excellence in Research

Published July 2009

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ESF – EUROHORCs roadmap

Actions #	
1	Strengthen relations between science, society and the private sector and intensify the dialogue between research organisations and political actors at the European level
2	Promote European research careers
3	Develop scientific foresight and use its results as a basis for joint strategy development
4	Create a European Grant Union
5	Address peer review of proposals at European level
6	Develop common approaches to ex-post evaluation of funding scheme and research programmes
7	Create ERA Connect and Regional Clusters
8	Develop shared funding and exploitation of medium-sized research infrastructure
9	Implement a common policy on Open Access to research results and Permanent Access to research data
10	Connect European Research to the world

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ESF et son rôle auprès des politiques



- ESF - EUROHORCs simulation case on Joint Programming

Published and sent to
Commissioner Potočnik in
November 2008

More at:
www.esf.org/announcements

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- ESF relations to Universities

Possible collaboration in the frame of the roadmap for actions:

- European Research Careers
- Peer Review
- ERA Connect and Regional Clusters
- Others?

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ESF et son rôle auprès des politiques

➤ **ESF relations to Universities**

Possible collaboration in the frame of ESF scientific actions:

- Research Conferences

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ESF Research Conferences

1. Aims & Objectives
2. Conference Series and Research Conferences
3. Participating Universities
4. Sample Conferences
5. How to become a Partner

Aims: Synergy & Networking

ESF Research Conferences provide the opportunity for **early-stage researchers** to meet leading scientists for discussions on the most recent developments in their fields. These high-level conferences act as a catalyst for creating synergistic contacts throughout Europe and the rest of the world. The conferences are open to scientists world-wide, whether from academia or industry.

Aims: Supporting Scientific Excellence

ESF Research Conferences provide the opportunity for **leading scientists** to conceive and chair high-level international conferences in their area of expertise within the established framework of the Research Conferences Scheme. The ESF Conference Unit will take care of all logistical and administrative aspects of the meeting, allowing the chair to focus on the scientific programme.

Aims: Fostering Emerging Topics

ESF Research Conferences provide the opportunity for **international organisations and universities** to promote important and emerging topics in their area of expertise by forming partnerships for conference series with the European Science Foundation.

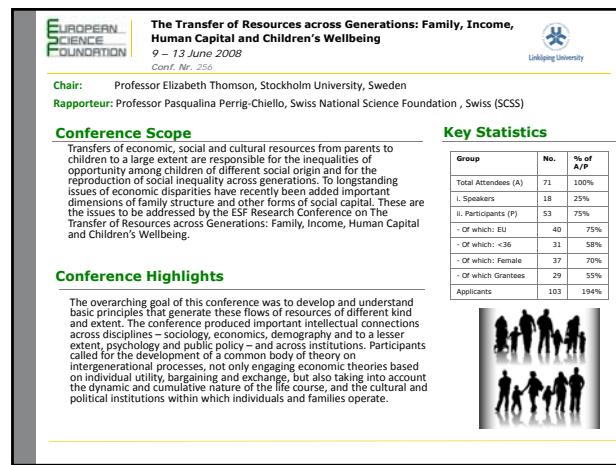
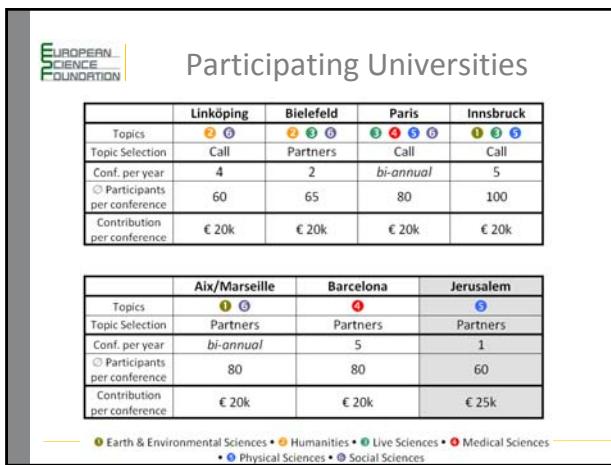
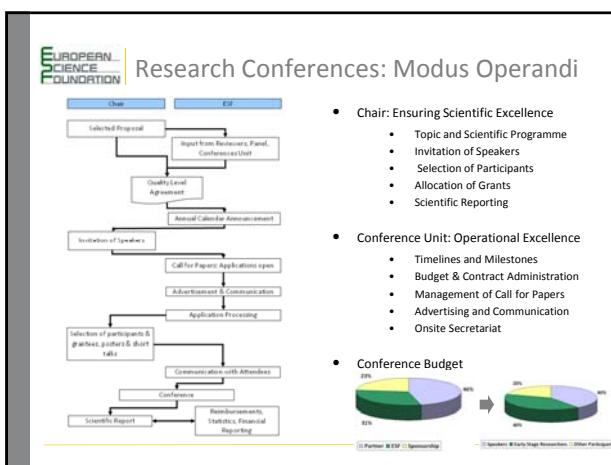
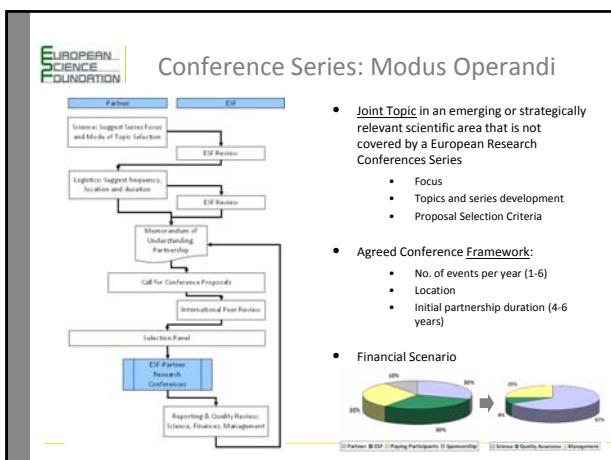
Current Conference Series

Scientific Focus

- Physics/Biophysics & Environmental Sciences (ESF-FWF-LFUI)
- Molecular Biology at the Interface with other Disciplines (ESF-EMBO)
- Humanities & Social Sciences (ESF-LU)
- Biomedicine (ESF-UB)
- Global Change Research (ESF-VR-FORMAS)
- Mathematics (ESF-EMS-ERCOM)
- Interdisciplinary Natural and Social Sciences (ESF-FMSH-ES)

Strategic Focus

- Frontier Science Conference Series for Young Researchers (ESF-JSPS)
- Joint High-Level Research Conferences on Topics of Strategic Interest (ESF-COST)
- Frontier Research Conference Series in Basic Science profiling African Excellence
- Conference Series in Interdisciplinary Research (ESF-ZiF-Bielefeld)



Nanomedicine 2008
19-24 September 2008
Conf. Nr. 08-218

Chair: Professor Rogério Gaspar, University of Lisbon, PT
Rapporteur: Dr. Katarina Polakova, Slovak Academy of Sciences, SK (EMRC)

Conference Scope
The 2008 Nanomedicine Conference is bringing together a diversified public in order to integrate converging science in the biomedical applications using nanotechnology. Nanomedicine in its consensus definition established by a broad group of experts within the ESF initiatives brings together different areas: Molecular and Cell Biology, Nanobiotechnology, Nanomaterials, Nanosystems & Devices, Innovation in Materials Science, Nanotoxicology & Cellular Fate, Characterisation of Nanostructures & Surfaces, Nanopharmaceuticals in Research & Development, Diagnostics, Biosensors & Surgical Tools, Ethical Issues, Clinical Applications.

Conference Highlights
This unique challenging, multidisciplinary conference involves many diverse areas but the superb contribution of all delegates ensured the meeting was a landmark success. Follow-up meetings include the 2nd ESF Summer School Nanomedicine (Lisbon June 14-19th 2009) for education and training (especially young clinicians) and the next 3rd ESF Research Conference Nanomedicine to be held in Sant Feliu in 2010.

Key Statistics

Group	Number	%
Speakers	34	32.38
Applicants	139	
Participants	71	51.08
- EU	61	77.14
- Young Researchers	41	39.05
- Female	48	45.71
Grantees	16	47.62

www.esf.org/conferences

How to become a partner

Requirements

- Topic in an emerging or strategically important scientific area not covered by a European Research Conferences Series
- Willingness to support 1-6 conferences per year over a period of 4-6 years
- Financial contribution of a minimum of EUR 30.000 per conference

Steps

- Expression of Interest to ESF Conferences Unit
- Internal ESF Review
- Invitation to submit a full proposal for a ESF Research Conference Partnership
- Full Review of Proposal
- Memorandum of Understanding

Further Information:
conferences@esf.org
www.esf.org/conferences



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Thank you for your attention!



Spring at the ESF – Strasbourg (France)

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SETTING SCIENCE AGENDAS FOR EUROPE

Excellence Openness
Responsiveness Pan-European
Ethical awareness and human values